## IN THE HIGH COURT OF AUSTRALIA SYDNEY REGISTRY

HIGH COURT OF AUSTRALIA
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FILED
17 JUN 2011

THE REGISTRY SYDNEY

On appeal from a decision of the New South Wales Court of Appeal

No. S174 of 2011	No. S175 of 2011
AUSTRALIAN SECURITIES AND	AUSTRALIAN SECURITIES AND
INVESTMENTS COMMISSION	INVESTMENTS COMMISSION
Appellant	Appellant
PETER JAMES SHAFRON	GREGORY JAMES TERRY
Respondent	Respondent
No. S176 of 2011	No. S177 of 2011
AUSTRALIAN SECURITIES AND	AUSTRALIAN SECURITIES AND
INVESTMENTS COMMISSION	INVESTMENTS COMMISSION
Appellant	Appellant
MEREDITH HELLICAR	MICHAEL ROBERT BROWN
Respondent	Respondent
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No. S178 of 2011	No. S179 of 2011
AUSTRALIAN SECURITIES AND	AUSTRALIAN SECURITIES AND
INVESTMENTS COMMISSION	INVESTMENTS COMMISSION
Appellant	Appellant
MICHAEL JOHN GILLFILLAN	MARTIN KOFFEL
Respondent	Respondent
No. S180 of 2011	No. S181 of 2011
AUSTRALIAN SECURITIES AND	AUSTRALIAN SECURITIES AND
INVESTMENTS COMMISSION	INVESTMENTS COMMISSION
Appellant	Appellant
GEOFFREY FREDERICK O'BRIEN	PETER JOHN WILLCOX
Respondent	Respondent

## **APPELLANT'S DRAMATIS PERSONAE**

## Australian Securities and Investment Commission v Shafron & Ors High Court of Australia

Name	Description
Ashe, Stephen	Vice president public affairs, James Hardie Industries Limited (JHIL)
	Vice president investor relations, James Hardie Industries NV (JHINV)
Attrill, Wayne	Litigation manager, JHIL
· · · · ·	Managing director, litigation management group
Baxter, Gregory	Senior vice president corporate affairs, JHIL and JHINV
Blanchard, Julian	Lawyer, Allen Allen & Hemsley (Allens)
Brown, Michael	Director, JHIL from 25 September 1992 to 19 October 2001
4th defendant in proceedings before Gzell J	Director, JHINV from 19 October 2001 to 20 February 2007
Brett, David	Director, economic studies and strategies unit, PricewaterhouseCoopers (PwC)
Cameron, Donald	Company secretary, JHIL from 1994 to 1998 and then from November 1999 to September 2001
Cameron, Peter	Partner, Allens
(Deceased, 21 February 2006)	Director, JHINV from 15 August 2003 to 19 January 2006
Cole (Coghlan), Melanie	Secretary, corporate affairs department, JHIL
Cooper, Dennis	Chief information officer, James Hardie group
• • • • • • • •	Managing director, Medical Research and Compensation Fund (MRCF)
Cushing, Sir Selwyn	Director, JHIL from 21 July 1999 to 3 April 2001
Edwards, Sir Llewellyn	Director, JHIL from 2 August 1990 to 15 February 2001
	Director, MRCF
Gill, Michael	Director, MRCF
Gillfillan, Michael	Director, JHIL from 20 August 1999 to 19 October 2001
5th defendant in proceedings before Gzell J	Director, JHINV from 7 September 2001 to 20 February 2007
	Director, JHINV from 7 September 2001 to 20 February 2007

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Dramatis Personae

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Name	Description
Harman, Stephen	Financial controller, JHIL
Hellicar, Meredith	Director, JHIL from 5 May 1992 to 19 October 2001
6th defendant in proceedings before Gzell J	Director, JHINV from 19 October 2001 to 20 February 2007
Jollie, Peter	Director, MRCF
Kneeshaw, Alan (Deceased, 10 January 2008)	Manager secretarial services, James Hardie group
Koffel, Martin	Director, JHIL from 20 August 1999 to 19 October 2001
7th defendant in proceedings before Gzell J	Director, JHINV from 19 October 2001 to 19 July 2002
Loosley, Stephen	Partner, strategic advice and public policy group, PwC Legal
Macdonald, Peter	Director and chief executive officer, JHIL from 1 November 1999 to 4 January 2002
1st defendant in proceedings before Gzell J	Director and chief executive officer, JHINV from 14 August 2001 to 22 October 2004
Marshall, Karl	Consulting actuary, John Trowbridge Consulting Pty Ltd (subsequently Trowbridge Deloitte Limited) from August 1999
McGregor, Alan	Director and chairman of the board, JHIL and JHINV
(Deceased, 19 February 2005)	
Minty, David	Actuary, Trowbridge Deloitte Limited (Trowbridge)
Morley, Phillip	Chief financial officer, JHIL from 1 March 1997 to October 2001
3rd defendant in proceedings before Gzell J	Director, James Hardie & Coy Ltd and Jsekarb Pty Ltd from 28 February 1997 to 15 February 2001
O'Brien, Geoffrey 8th defendant in proceedings before Gzell J	Alternate director, JHIL (for Sir Selwyn Cushing) from 18 August 2000 to 2 April 2001 and Director, JHIL from 3 April 2001 to 28 May 2001
	Local agent, BIL International Limited (formerly Brierley Investments Limited) ( <b>BIL International</b> ) from 13 November 1999 to 4 March 2003
•	Director, BIL Australia Pty Limited from 29 June 2000 to 21 December 2001
Robb, David	Partner, Allens
Rotsey, Jane	Chief operating officer, Gavin Anderson & Company
Shafron, Peter	Company secretary, JHIL from 13 November 1998 to 5 March 2002

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Name	Description
2nd Defendant in proceedings before Gzell J	General counsel, JHIL and the James Hardie group, from March 1997
Stevenson, Susan	Secretary/personal assistant to Donald Cameron, JHIL
Sweetman, Anthony	Investment banker, UBS Australia
Terry, Gregory	Director, JHIL from 17 February 2000 to 28 May 2001
9th Defendant in proceedings before Gzell J	Director, BIL International from 11 November 2009 to 4 March 2003
Waterman, Ewen Leith	Executive Director, Access Economics from 23 June 1997 to 24 October 2001
Wheeler, Melissa	Executive assistant to Greg Baxter, JHIL
Willcox, Peter	Director, JHIL from 11 May 1992 to 19 October 2001
10th defendant in proceedings before Gzell J	
Williams, Roy	Partner, Allens
Wilson, Ian	Investment banker then (from March 2000) consultant, UBS Australia

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